Atty Docket No. 022128-000400US

PTO FAX NO.: 703-872-9306

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I hereby certify that the following documents in re Application of GIFFORD et al., Application No. 10/811,228, filed March 26, 2004 for METHODS AND APPARATUS FOR TREATMENT OF PATENT FORAMEN OVALE are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. Transmittal (1 page)

2. Preliminary Amendment (4 pages)

3. Supplemental Information Disclosure Statement (27 pages)

Number of pages being transmitted, including this page: 33

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Shemekia N. Brown

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PTO/SB/21 (09-04) Application Number 10/811,228 March 26, 2004 TRANSMITTAL Filing Date First Named Inventor GIFFORD, HANSON **FORM** Art Unit 3738 Examinar Name Unassigned (to be used for all correspondence after hitfal filing) Attorney Docket Number 022128-000400US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittel Form Appeal Communication to Board of Appeals and Interferences Licensing-related Papers Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) X Preliminary Amendment/Reply Petition Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please identify Extension of Time Request Terminal Disclaimer balow): Express Abandonment Request Request for Refund CD, Number of CD(s)_ Supplemental Information Disclosure Statement Landscape Table on CD The Commissioner is authorized to charge any additional fees to Deposit Remarks Certified Copy of Priority Account 20-1430. Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Townsend and Townsend and Crew LLP 8ignature Printed name Scott M. Smith Reg. No. 48,268 Date 1/05/05 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-9306 on 1/05/05. Signature 1/05/05 Shemekia N. Brown Typed or printed name

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GIFFORD III et al.

Application No.: 10/811,228

Filed: March 26, 2004

For: METHODS AND APPARATUS FOR TREATMENT OF PATENT

FORAMEN OVALE

Customer No.: 20350

Confirmation No. 9042

Examiner: Unassigned

Technology Center/Art Unit: 3738

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please enter the following amendments:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.